ftwilliam.com Software: Retirement Plan Documents

The ftwilliam.com portfolio is fully web-based software that streamlines time-consuming tasks for the employee benefits professional. The applications are easy to use and integrated to ensure that data flows effortlessly between the different modules.

The **Retirement Plan Documents** module helps you streamline your entire plan document preparation process.

IRS-Approved Documents

Prototype—Non-Standardized[1]
Non-Standardized 401(k) Profit Sharing (Answers only)
Non-standardized 401(k)/ Profit Sharing[2]
Non-standardized Money Purchase
Non-standardized Target Benefit

Prototype—Standardized[1]
Standardized 401(k)/Profit Sharing (Answers only)
Standardized 401(k)/Profit Sharing [2]
Standardized Money Purchase

Volume Submitter:
Volume Submitter 401(k)
Volume Submitter Profit Sharing
Volume Submitter Money Purchase
Volume Submitter Governmental Profit Sharing Plan[1]

Volume Submitter Governmental Money Purchase Plan[1]
Volume Submitter 401(k) (prototype format)[2]
Volume Submitter 401(k) prototype format (Answers only)

Defined Benefit[1]

Defined Benefit (Individually Designed Format)

Individually Designed Plans

403(b)[1]
ERISA Plan for 501(c)(3) Employers
Non-ERISA Governmental Plan
Non-ERISA Church Plan without RIA
Non-ERISA Deferral Only Plan for 501(c)(3) Employers
Non-ERISA Church Plan with RIA

ESOP[1]

KSOP/401(k) [1]

Cash Balance Document [1]

Cash Balance Document (Individually Designed Format)

Software Highlights

- Over 30 SPDs, other documents, and participant forms at no extra cost.
- Updates and amendments are provided at no extra cost for subscription document customers.
- Ensure accuracy with extensive error checking and context-sensitive help buttons.
- Save time by batch printing amendments and annual notices with the click of a button.
- *ftw*Pro Amend—Track changes to your document and automatically create a customized consent, SMM, and amendment (Prototype plans only).
- Free data conversion from your existing plan management system.
- E-signatures available with purchase of *ftw*Portal Pro.
- Quick Entry Screen – tabular checklist editing for quicker data entry.
- Customized Edit Checking – Create additional, customized validations for your plans.
- GUST and EGTRRA documents available for correction program utilization.

[1]Adoption Agreement and Basic Plan Document
[2]May be established as 401(k) Plan or a Profit Sharing Plan only
Please note that all of the volume submitters and prototype non-standardized profit sharing/401(k) plans offer a new comparability and age-weighted allocation option.

Visit ftwilliam.com or call 1-800-596-0714 to learn more.

Spanish Forms Package Available

The **Spanish Forms Package** is an add-on to a subscription of ftwilliam.com's retirement documents. The **Spanish Forms Package** includes translated Spanish versions of PPA defined contribution plan notices including: Summary Plan Descriptions, Annual Notices, and Participant Highlights.

For subscribers that add on the **Spanish Forms Package** the corresponding Spanish notices will generate under the English versions. All instructional text on completing the notices has been left in English.

The **Spanish Forms Package** includes the following notices:

• Spanish SPD

• SPD - English Including Spanish Foreign Language Requirement*

• Annual Notices – Safe Harbor, Auto-Enroll, SIMPLE, and QDIA

• SMMs associated with both mandatory and optional amendments

• Participant Highlights

• Special Tax Notice

• 204(h) Notice

• Blackout Notice

• Publicly Traded Stock Notice

• ESOP Diversification Notice and Election

*Since providing the Spanish version of the SPD does not fulfill the Department of Labor's foreign language requirement for employers with Spanish speakers, our Spanish Forms package also includes a version of the English SPD that includes the statement (in Spanish) necessary to satisfy the ERISA foreign language requirement for Spanish speakers.

Please contact your ftwilliam.com sales consultant at 1-800-596-0714 if you are interested in learning more about this package.

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