ftwilliam.com Software: Compliance Testing and Reporting Module

The fully web-based **Compliance Module** helps you manage your plans’ testing and reporting with ease, and streamline your census preparation. Have a question? Our average time to answer customers questions during testing season is 1.2 hours!

**Software Highlights**

- **New!** Custom Report Writer – customize and easily edit reports on the fly
- **New!** Payroll Data Accumulator – quickly create (in batches!), delete, compare, and push data to census
- **New!** Distribution Processing Features – system computes vested balance and forfeitures, and creates transactions by source and investment account
- Complex/Multi-Tiered Contribution Allocations
- Safe Harbor/Top Heavy and Gateway Minimums
- Self-Employed Calculations
- Balance Forward Accounting/Participant Statements
- Transaction Import/Export
- Auto Solving for failed Gateway and Rate Group tests
- Workflow management helps you supervise all your compliance tasks: track status of census data, compliance testing, and report preparation
- Extensive Census Data Scrub
- Automatically generate promissory notes and amortization schedules
- Searchable online user guide and Help Center offers 24-hour support
- Cash Balance Administration Options
- QNEC Calculation for correcting ADP/ACP test failures
- Aggregation of plans for testing
- Calculate Required Minimum Distributions Per Plan or Global
- Integration with the 5500, 8955-SSA, and 1099 software
- Securely transfer census and annual questionnaire via ftwPortal Pro (additional fees apply)
- Edit census and questionnaire online via ftwPortal Pro (additional fees apply)
- Our PensionPro partnership allows the status of your compliance work to flow seamlessly into PensionPro’s Workflow software for greater control and efficiencies

**Annual Tests Provided**

(Listed by Internal Revenue Code Section Number)

- **401(a)(4)**—General Test / Cross Test
- **402(g)**—Elective Deferral Limitation
- **401(k)/(m)**—ADP and ACP Nondiscrimination Testing
- **410(b)**—Ratio Percentage and Average Benefits Coverage Testing
- **414(q)**—Highly Compensated Employee Determination
- **414(s)**—Nondiscriminatory Compensation Testing
- **415(c)**—Annual Additions Limitation Testing
- **416**—Top-Heavy Rules
- **414(v)**—Catch-Up Contribution Processing
- **404**—Contribution Limit

Visit ftwilliam.com or call 1-800-596-0714 to learn more.
Below is a screen shot of the Compliance Module ‘Tasks Menu’. Each of the tasks is run. The green check mark indicates the test passed; the red X indicates a failure, or for the top heavy test, that the plan is top heavy.

- Upload/download Census data
- Create/edit census grids
- Access portal screens
- Export data to ftw 5500 & 1099 modules
- Calculate RMDs
- Import financial data from vendor
- Compare financial data with census data
- Upload payroll period data
- Access loan & distribution modules
- Calculate initial and continuing eligibility, identify HCEs & key employees
- Perform ADP/ACP testing
- Calculate refunds including earnings
- Estimate QNECs
- Auto-Solves offers alternative allocations to pass Rate Group & Gateway tests
- Calculate employer contributions including top heavy minimums
- Compute true-up amounts
- Perform up to four tests with one click: (410(b) coverage, 415, deduction limits & compensation testing)